

FORM PTO-1390  
(REV 10-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

9793822-0118

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/701950

INTERNATIONAL APPLICATION NO.

PCT/JP00/01915

INTERNATIONAL FILING DATE

28 March 2000

PRIORITY DATE CLAIMED

06 April 1999

TITLE OF INVENTION Method for Producing Positive Electrode Active Material for Producing  
Non-Aqueous Electrolyte Secondary Battery

APPLICANT(S) FOR DO/EO/US

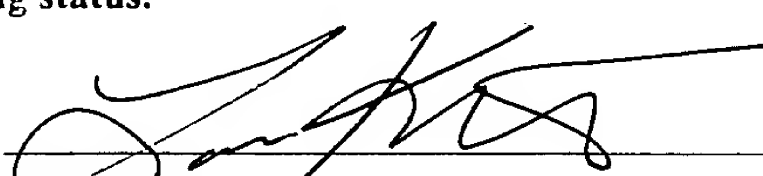
LI, Guohua; YAMADA, Atsuo; AZUMA, Hideto

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:  
Drawings (31 sheets - Figs. 1-31)  
Express Mail

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>097701950</b>		INTERNATIONAL APPLICATION NO. PCT/JP00/01915		ATTORNEY'S DOCKET NUMBER 9793822-0118	
<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b></p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00</p> <p style="text-align: center;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></p>				<b>CALCULATIONS</b> PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input checked="" type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	26 - 20 =	6	X \$18.00	\$ 108.00	
Independent claims	6 - 3 =	3	X \$80.00	\$ 240.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$ 0	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1,338.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 0	
<b>SUBTOTAL =</b>				\$ 1,338.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0	
<b>TOTAL NATIONAL FEE =</b>				\$ 1,338.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0	
<b>TOTAL FEES ENCLOSED =</b>				\$ 1,338.00	
				Amount to be refunded:	\$
				charged:	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,338.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-3140</u>. A duplicate copy of this sheet is enclosed.</p>					
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p>					
<div style="display: flex; justify-content: space-between;"><div style="width: 60%;">SEND ALL CORRESPONDENCE TO: David R. Metzger Sonnenschein Nath &amp; Rosenthal P.O. Box #061080 Wacker Drive Station, Sears Tower Chicago, IL 60606-1080</div><div style="width: 35%; text-align: right;"><div style="text-align: center;"> SIGNATURE:</div><div style="text-align: center;">Lana M. Knedlik NAME</div><div style="text-align: center;"><u>42,748</u> REGISTRATION NUMBER</div></div></div>					

CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" Mailing Label Number EL447641285US

Date of Deposit: December 5, 2000

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10(c) on the date indicated above and is addressed to:

BOX PCT  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Docket Number: 9793822-0118

International Application No.  
PCT/JP00/01915

International Filing Date  
March 28, 2000

Priority Date Claimed  
April 6, 1999

Title: "METHOD FOR PRODUCING POSITIVE ELECTRODE ACTIVE  
MATERIAL FOR PRODUCING NON-AQUEOUS ELECTROLYTE  
SECONDARY BATTERY"

Applicants: LI, Guohua; YAMADA, Atsuo; AZUMA, Hideto


Enclosed are the following documents:

PTO Form 1390 in duplicate  
PCT Request Form  
Translation of International Application into English  
Verification of Translation  
Submission of Drawings (31 sheets - Figs. 1-31)

Fee: \$1,338.00

Postcard

Signature of person mailing document and fees

  
Paula L. Simmons